



# New Technologies of B3G/4G

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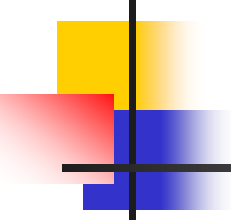
Xiaohui Li

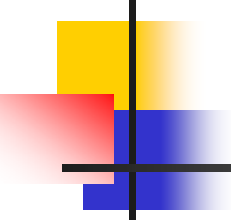
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31 July, 2009

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- Introduction of Our Group
  - Research Work
  - Projects
  - Achievements
  - Family Album
  - Future work

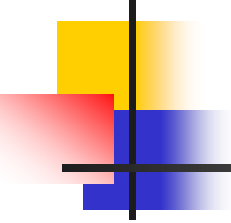
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# Introduction of Our Group

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- Community of associated professor, Ph.D. and M.S. students.
- Research Interests mainly
  - Theory: Some key technologies of MIMO systems
  - Application: Development of 3G Long Term Evolution(LTE)
- Emphasize the integration of theory with practice, focus on science exchanges home and abroad to broad our scope

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- Introduction of Our Group
  - **Research Work**
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# Research works

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- Key technologies of MIMO systems
  - Multi-user scheduling
  - MIMO detection
- Development of 3G Long Term Evolution (LTE)
  - Link level simulation and system level simulation with/without CoMP (Coordinated Multiple Point)
  - Inter-cell Interference Co-ordination (ICIC) method
  - Inter-cell power control technology
  - Cell selection strategy for users

# PSO Multi-user scheduling

- **Particle Swarm Optimization**

- *Kennedy and Eberhart*, in 1995 , Proc. Int'l Conf. Neural Networks, vol. 5,
- Motivated by the behaviors of bird flock or bees finding food

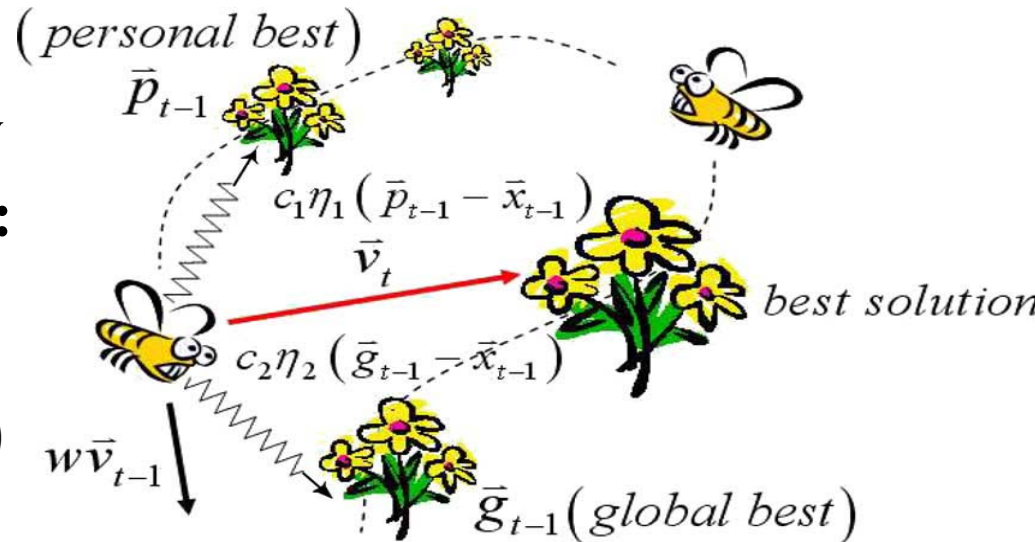
- **Advantage**

- Simple, easy realization
- low computation complexity

- **Evolutionary mechanism:**

$$x_i(t) = x_i(t-1) + v_i(t)$$

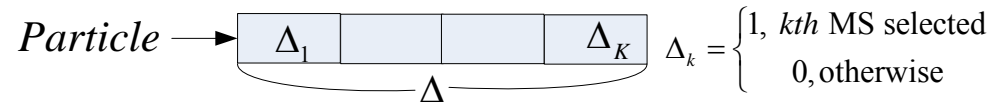
$$v_i(t) = wv_i(t-1) + c_1\eta_1(pbest_i(t-1) - x_i(t-1)) + c_2\eta_2(gbest(t-1) - x_i(t-1))$$



# PSO Multi-user scheduling

- Our contribution:

- Particle definition: candidate of the scheduled user subset



- Fitness Function definition: capacity value corresponding to such particle

$$F_i(\tau) = \log_2 \det(\mathbf{I}_{N_t} + \gamma \mathbf{H}^H \mathbf{H} \text{diag}(\Delta(i)))$$

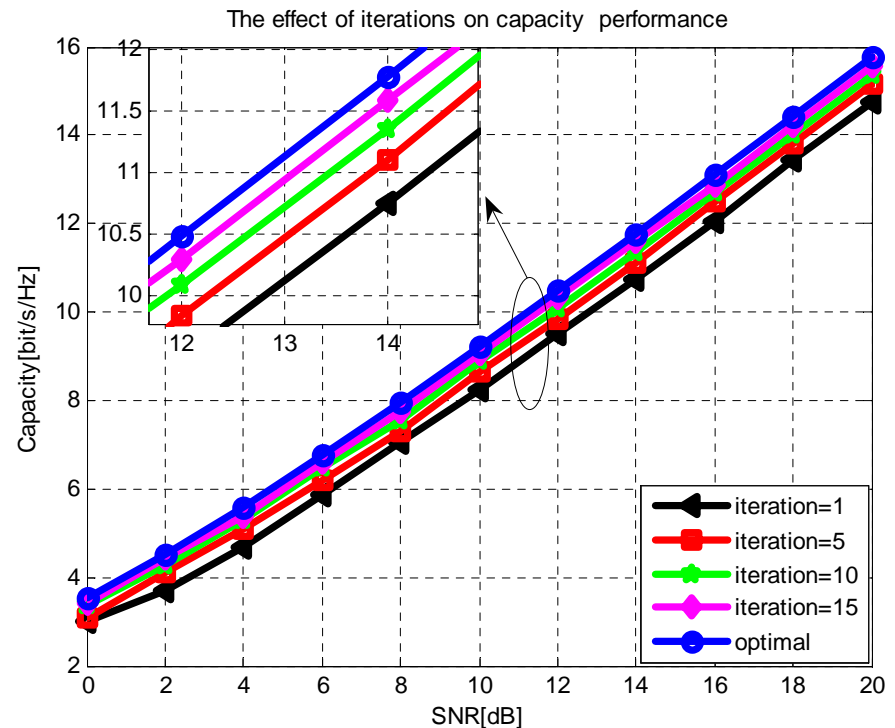
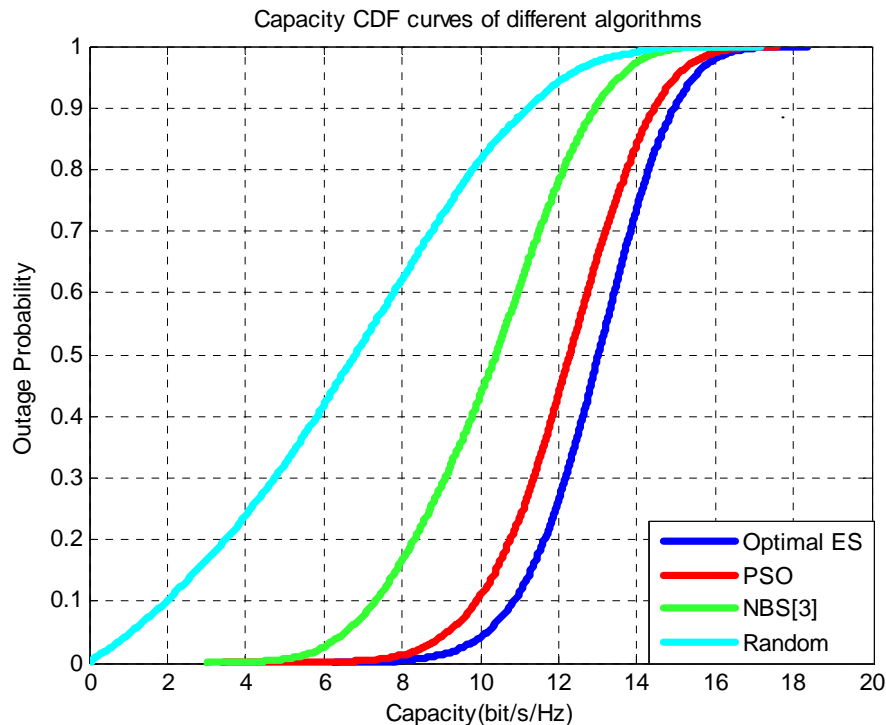
- Key PSO scheduling method steps

- ① *Initialization.* Generate certain number of particles and velocities
- ② *Evaluation.* Calculate the fitness value of each particle
- ③ *Evolution.* Apply PSO mechanism to these particles
- ④ *Termination.* Repeat the above steps until the maximal iteration number



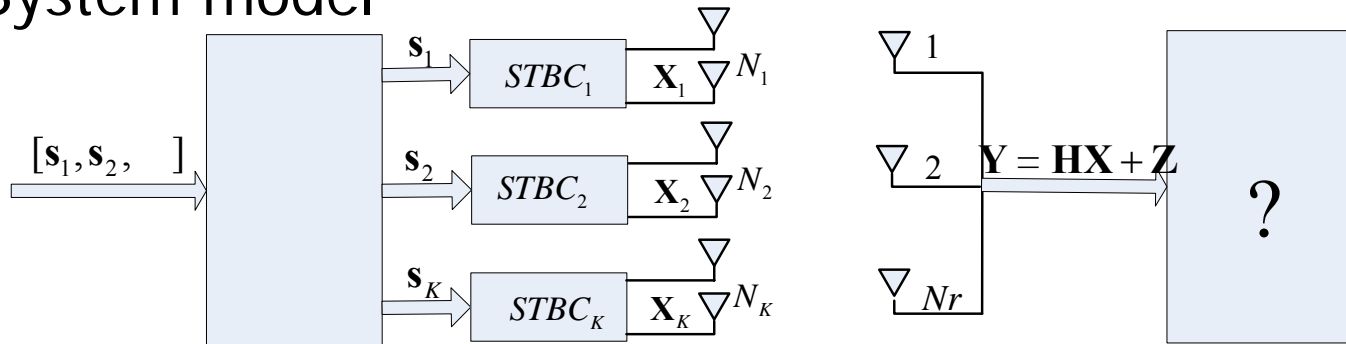
# PSO Multi-user scheduling Simulation Results

- Parameters: one BS, 16 MSs with single antenna,  $L=4$ MSs scheduled
- PSO: Inertia weight factor  $w=0.9$ , acceleration constant  $c1=c2=2.0$ , particle number = 20 , maximal iteration number= 15



# MIMO detecting

- System model



- The received signal over  $T$  time slots:

$$\mathbf{Y} = \mathbf{H}\mathbf{X} + \mathbf{Z}$$

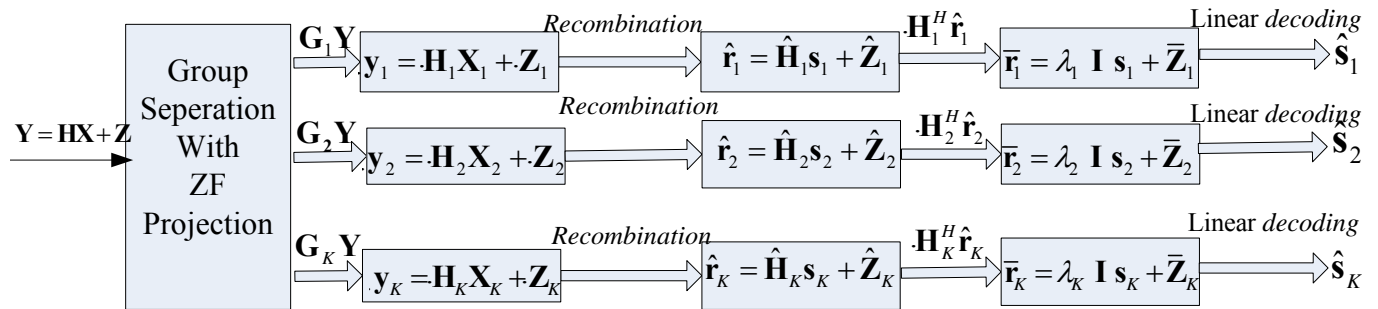
$$= \mathbf{H} \begin{pmatrix} \mathbf{A}_{11}\mathbf{s}_1 + \mathbf{B}_{11}\mathbf{s}_1^* & \mathbf{A}_{1T}\mathbf{s}_1 + \mathbf{B}_{1T}\mathbf{s}_1^* \\ \mathbf{M} & \mathbf{O} & \mathbf{M} \\ \mathbf{A}_{K1}\mathbf{s}_K + \mathbf{B}_{K1}\mathbf{s}_K^* & \mathbf{L} & \mathbf{A}_{KT}\mathbf{s}_K + \mathbf{B}_{KT}\mathbf{s}_K^* \end{pmatrix} + \mathbf{Z}$$

- OSTBC codeword matrix of user  $k$ :  $\mathbf{X}_k = [\mathbf{x}_{k1}, \mathbf{x}_{k2}, \dots, \mathbf{x}_{kT}] = [\mathbf{A}_{k1}\mathbf{s}_k + \mathbf{B}_{k1}\mathbf{s}_k^*, \mathbf{L}, \mathbf{A}_{kT}\mathbf{s}_k + \mathbf{B}_{kT}\mathbf{s}_k^*]$

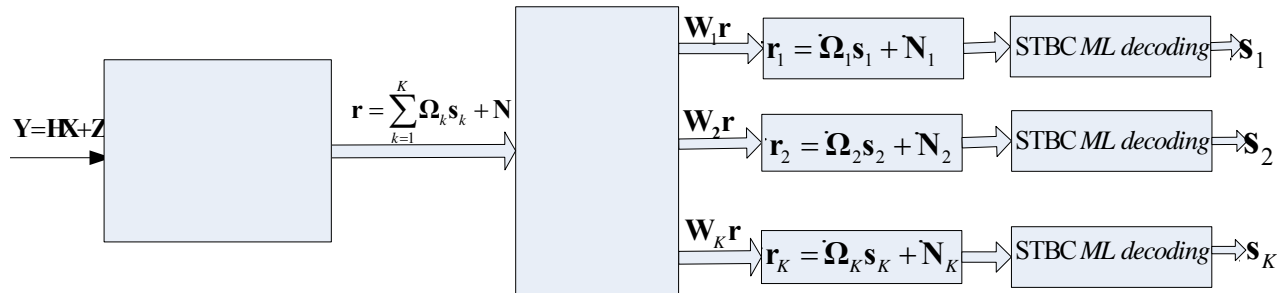
- $\mathbf{A}_t, \mathbf{B}_t, t = 1, L, T$  real constant coefficient matrices of the given OSTBC.

# MIMO detecting

- Two Existing Algorithms, *Lin Dai*, (IEEE Trans. Comm, vol. 53, no.9)
  - Type 1 detecting:

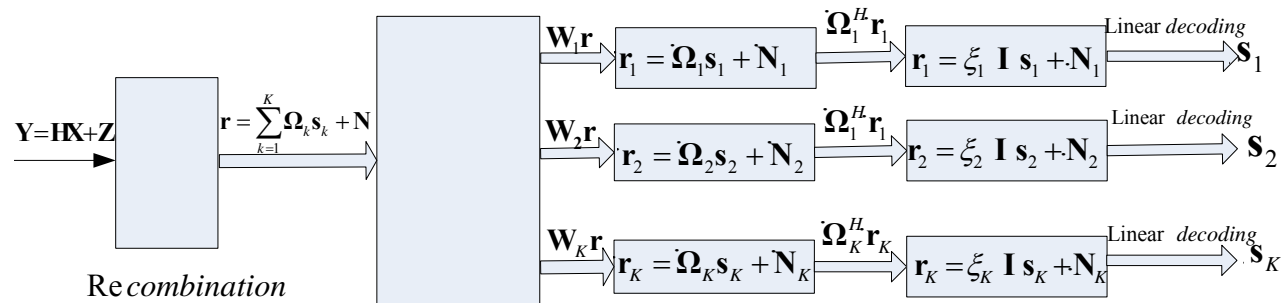


- Type 2 detecting



# MIMO detecting

- Proposed approach



- Advantages :

- Unitary projection matrix without suffering from noise enhancement
- Low Linear decoding complexity compared with type 2 ML decoding

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# MIMO detecting

- System design under the case of transmitter employing different OSTBC
  - Assume  $K$  different  $STBC_k$ , with code length  $T_k$
  - Output of  $STBC_k$ :  $\mathbf{X}_k = [\mathbf{A}_{k,1}\mathbf{s}_k + \mathbf{B}_{k,1}\mathbf{s}_k^*, \dots, \mathbf{A}_{k,T_k}\mathbf{s}_k + \mathbf{B}_{k,T_k}\mathbf{s}_k^*]$
  - The common code length:  $T = \text{MCM}\{T_1, T_2, \dots, T_K\}$  minimum common multiple
  - Define  $G_k = T/T_k, k = 1 \dots K$

- Transmit signal matrix design:

$$\mathbf{X} = [\mathbf{X}(\mathbf{A}) \quad \mathbf{X}(\mathbf{B})] = \begin{bmatrix} \mathbf{X}_1(\mathbf{A}) & \mathbf{X}_1(\mathbf{B}) \\ \mathbf{X}_2(\mathbf{A}) & \mathbf{X}_2(\mathbf{B}) \\ \vdots & \vdots \\ \mathbf{X}_K(\mathbf{A}) & \mathbf{X}_K(\mathbf{B}) \end{bmatrix} = \begin{bmatrix} \mathbf{X}_{1,1}(\mathbf{A}) & \mathbf{L} & \mathbf{X}_{1,G_1}(\mathbf{A}) & \mathbf{X}_{1,1}(\mathbf{B}) & \mathbf{L} & \mathbf{X}_{1,G_1}(\mathbf{B}) \\ \mathbf{X}_{2,1}(\mathbf{A}) & \mathbf{L} & \mathbf{X}_{2,G_2}(\mathbf{A}) & \mathbf{X}_{2,1}(\mathbf{B}) & \mathbf{L} & \mathbf{X}_{2,G_2}(\mathbf{B}) \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ \mathbf{X}_{K,1}(\mathbf{A}) & \mathbf{L} & \mathbf{X}_{K,G_K}(\mathbf{A}) & \mathbf{X}_{K,1}(\mathbf{B}) & \mathbf{L} & \mathbf{X}_{K,G_K}(\mathbf{B}) \end{bmatrix}$$

- $\mathbf{X}_{k,j}(\mathbf{A})$  represents the output code matrix where imag coefficient matrices  $\mathbf{B}_k = \mathbf{0}$
- $\mathbf{X}_{k,j}(\mathbf{B})$  represents the output code matrix where real coefficient matrices  $\mathbf{A}_k = \mathbf{0}$



# MIMO detecting

- The received signal over  $T$  time slots:

$$\mathbf{Y} = \mathbf{H}\mathbf{X} + \mathbf{Z} = \mathbf{H}_1\mathbf{X}_1 + \mathbf{H}_2\mathbf{X}_2 + \dots + \mathbf{H}_K\mathbf{X}_K + \mathbf{Z}$$

- With the proposed detecting approach

- For the  $k$ th group, receive vector:  $\mathbf{r}_k = \mathbf{W}_k \mathbf{r} = \mathbf{\Omega}_k \mathbf{s}_k + \mathbf{n}_k$
- $$\begin{bmatrix} \mathbf{r}_{k,1} & \dots & \mathbf{r}_{k,Gk} \end{bmatrix} = \mathbf{\Omega}_k \begin{bmatrix} \mathbf{s}_{k,1} & \dots & \mathbf{s}_{k,Gk} \end{bmatrix} + \begin{bmatrix} \mathbf{n}_{k,1} & \dots & \mathbf{n}_{k,Gk} \end{bmatrix}$$

- For each subgroup:  $\mathbf{r}_{ki} = \mathbf{\Omega}_k \mathbf{s}_{ki} + \mathbf{n}_{ki}, i = 1 \dots Gk$

- The desired signal of each subgroup can be decoded by:

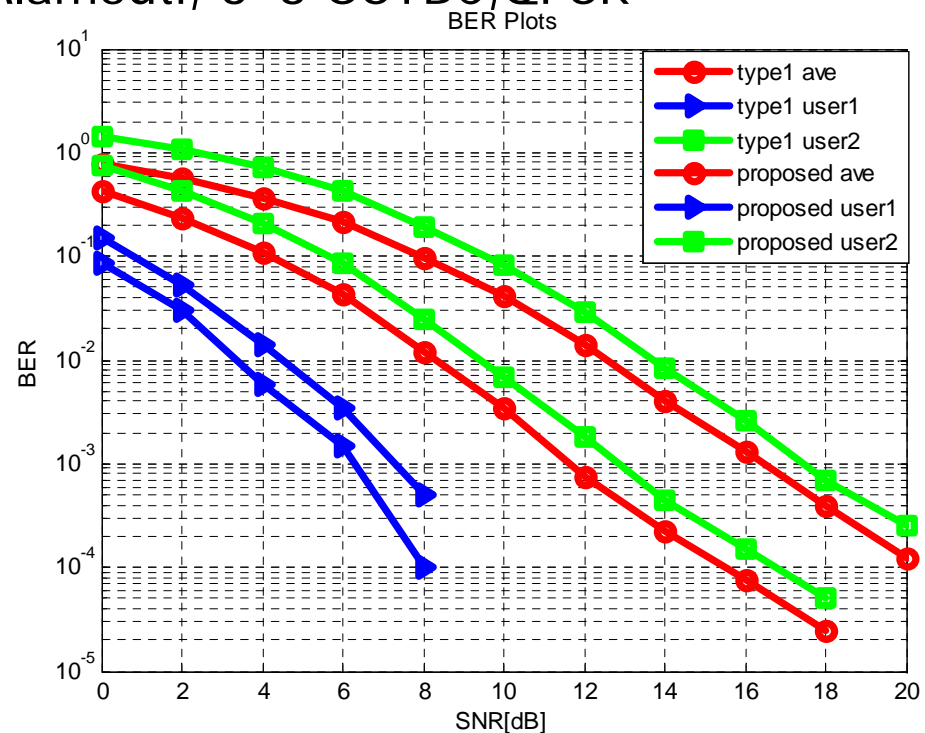
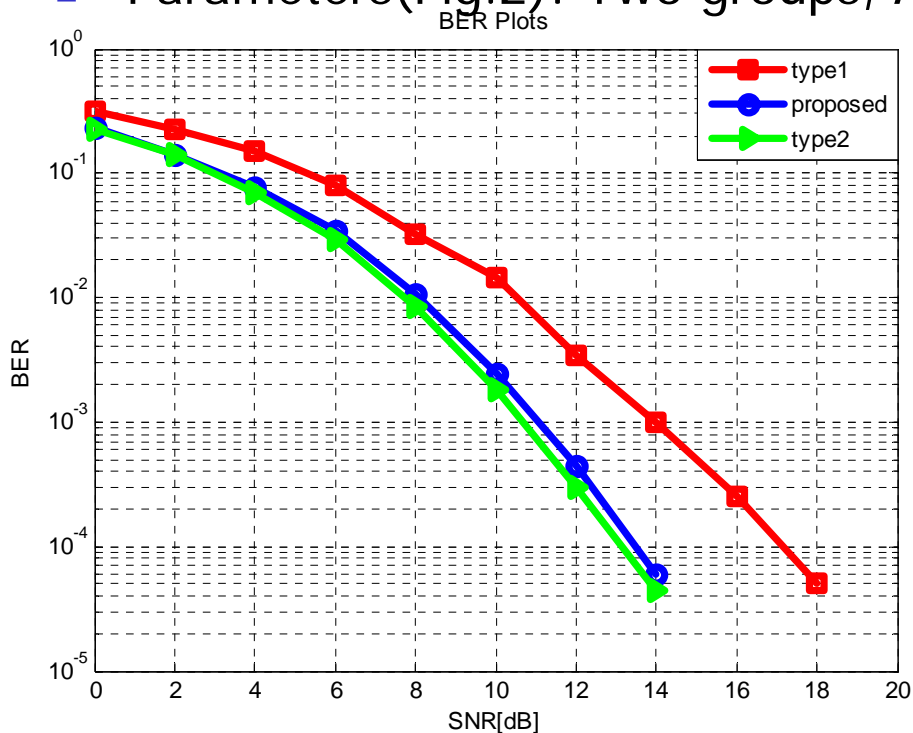
$$\mathbf{r}_{ki}^{\prime} = \mathbf{\Omega}_k^H \mathbf{r}_{ki} = \xi_k \mathbf{s}_{ki} + \mathbf{n}_{ki}^{\prime}$$

- Note that the equivalent channel of any group has the orthogonal property

$$\mathbf{\Omega}_k^H \mathbf{\Omega}_k = \xi_k \mathbf{I}$$

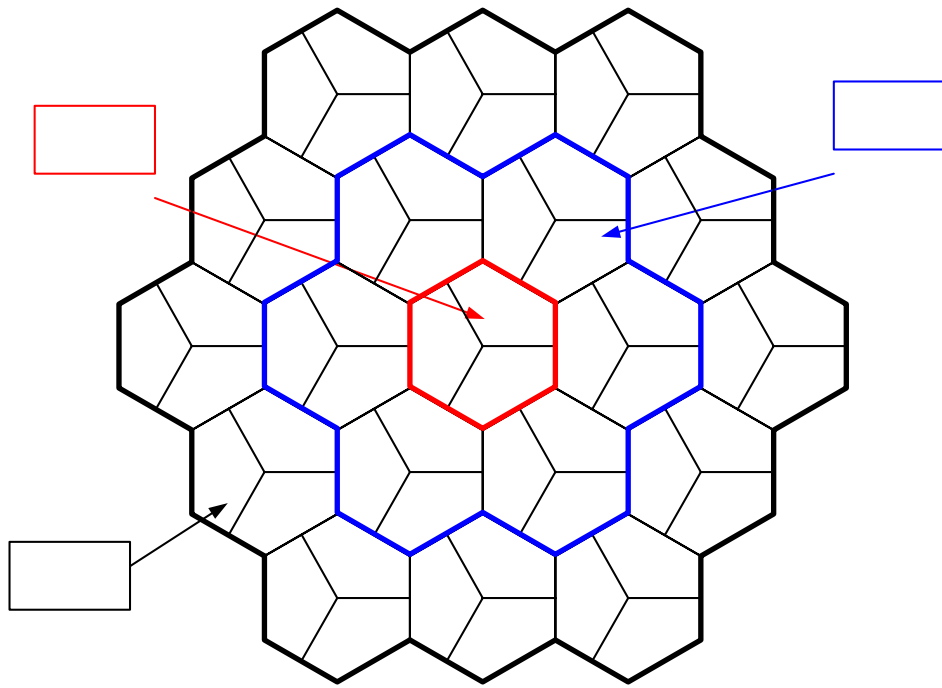
# MIMO detecting Simulation Results

- Parameters(Fig.1): Two groups, Alamouti coding, QPSK,
- Parameters(Fig.2): Two groups, Alamouti, 3\*8 OSTBC, QPSK

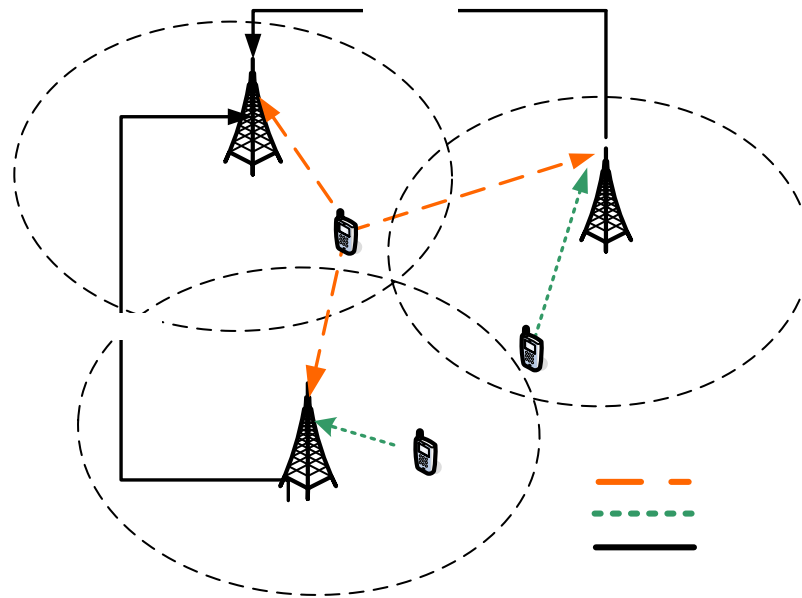


# Platform of LTE/LTE-A

- Link level simulation
- System level simulation





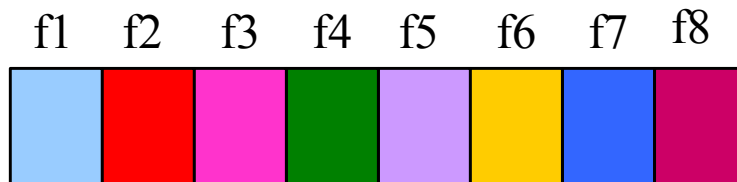


System level simulation with CoMP

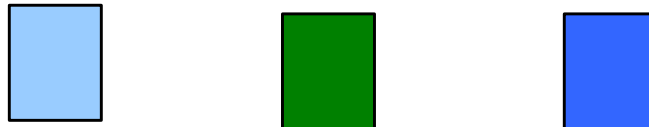
For UL-CoMP, a specific UE is processed not only by its serving cell, but also by its neighbor cell, as its coordinate cell. eNB1

# Inter-cell Interference Co-ordination (ICIC)

The whole band is equally divided into eight sub-bands  $B=f_1+f_2+\dots+f_8$



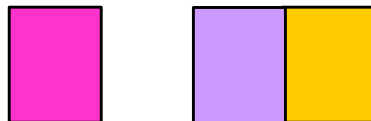
Sector A: edge user :f1, f4, f7 centre user: f2, f3, f5, f6, f8



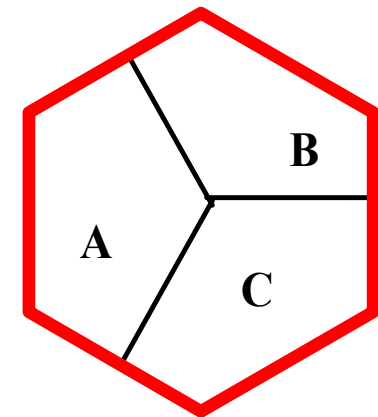
Sector B: edge user :f2, f8 centre user: f1, f3, f4, f5, f6, f7



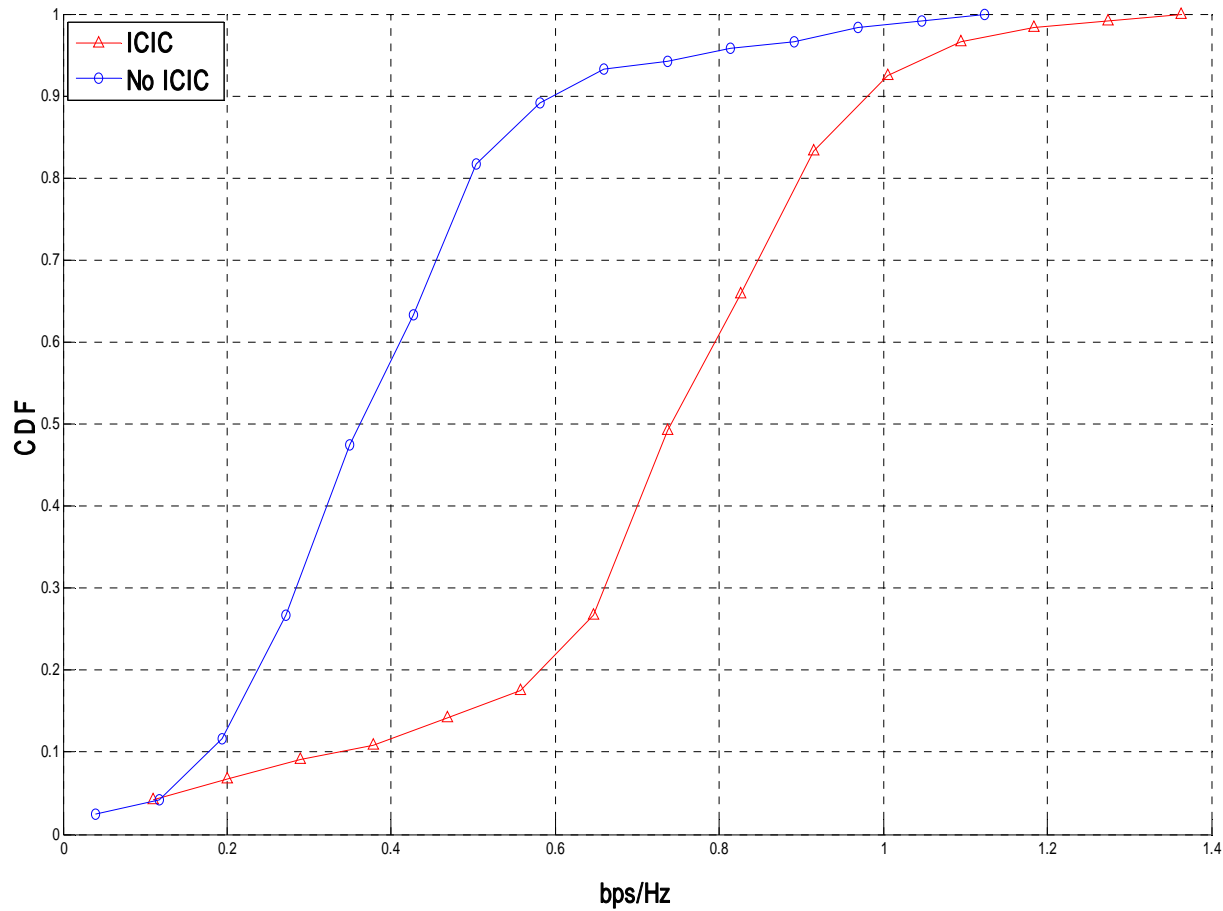
Sector C: edge user :f3, f5, f6 centre user: f1, f2, f4, f7, f8



3 sectors per cell-site



# Inter-cell Interference Co-ordination (ICIC)





# Inter-cell Power control

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- Power control in CoMP: to achieve maximum throughput
- The proposed power reallocation scheme
  - (1) Power requisition: UEs get power value according to LTE Rel.8 uplink power control formula

$$P = \min \{ P_{\max}, 10 \log_{10}^M + P_{0\_PUSCH} + \alpha gPL + \Delta_{MCS} + f(\Delta_i) \}$$



# Inter-cell Power control

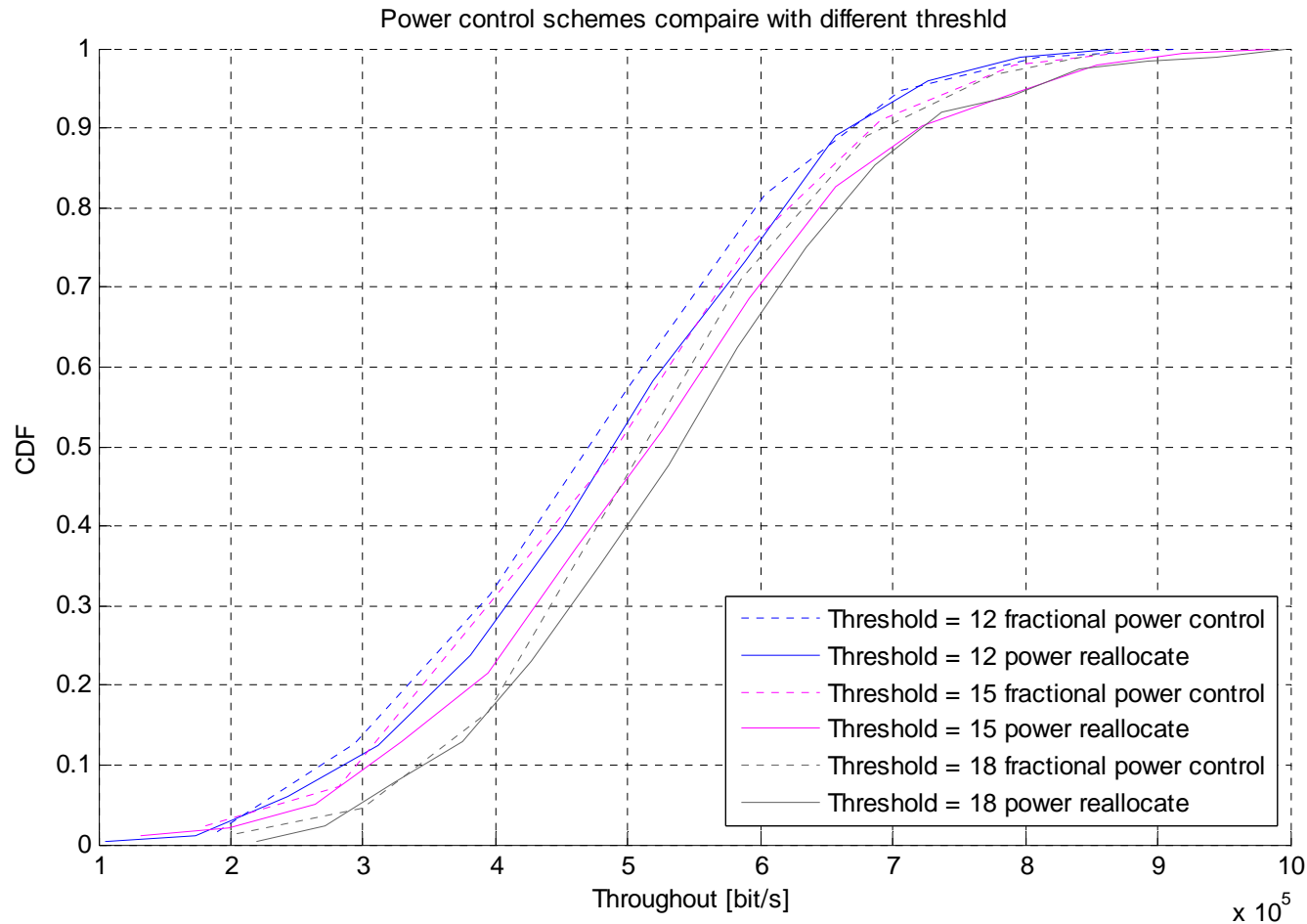
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- (2) Power reallocation: each UE transmit power is calculated by

$$\left\{ \begin{array}{l} p_{11} + p_{22} + p_{33} = p \\ p'_{11} : p'_{22} : p'_{33} = (g_{11}) : (g_{22}) : (g_{33}) \end{array} \right. \left\{ \begin{array}{l} p'_{11} = \frac{g_{11}}{g_{11} + g_{22} + g_{33}} p \\ p'_{22} = \frac{g_{22}}{g_{11} + g_{22} + g_{33}} p \\ p'_{33} = \frac{g_{33}}{g_{11} + g_{22} + g_{33}} p \end{array} \right.$$

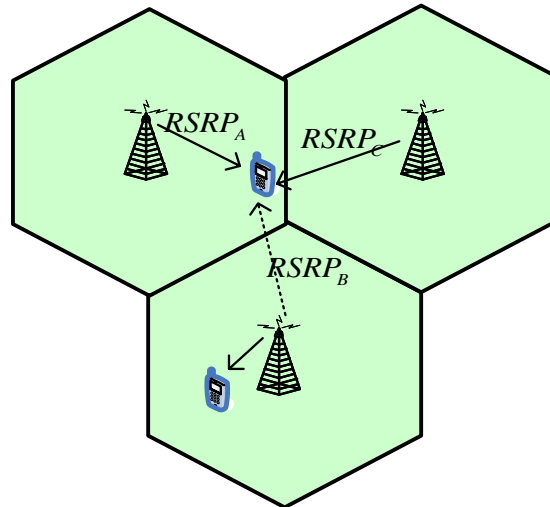
- This scheme does not increase the total power of system

# Inter-cell Power control



# Cell Selection algorithm

- Cell-selection with CoMP in Uplink
  - Exploit inter-cell diversity,
  - Increase cellular capacity,
  - Balance the traffic densities.



Cell selection



# Cell Selection algorithm

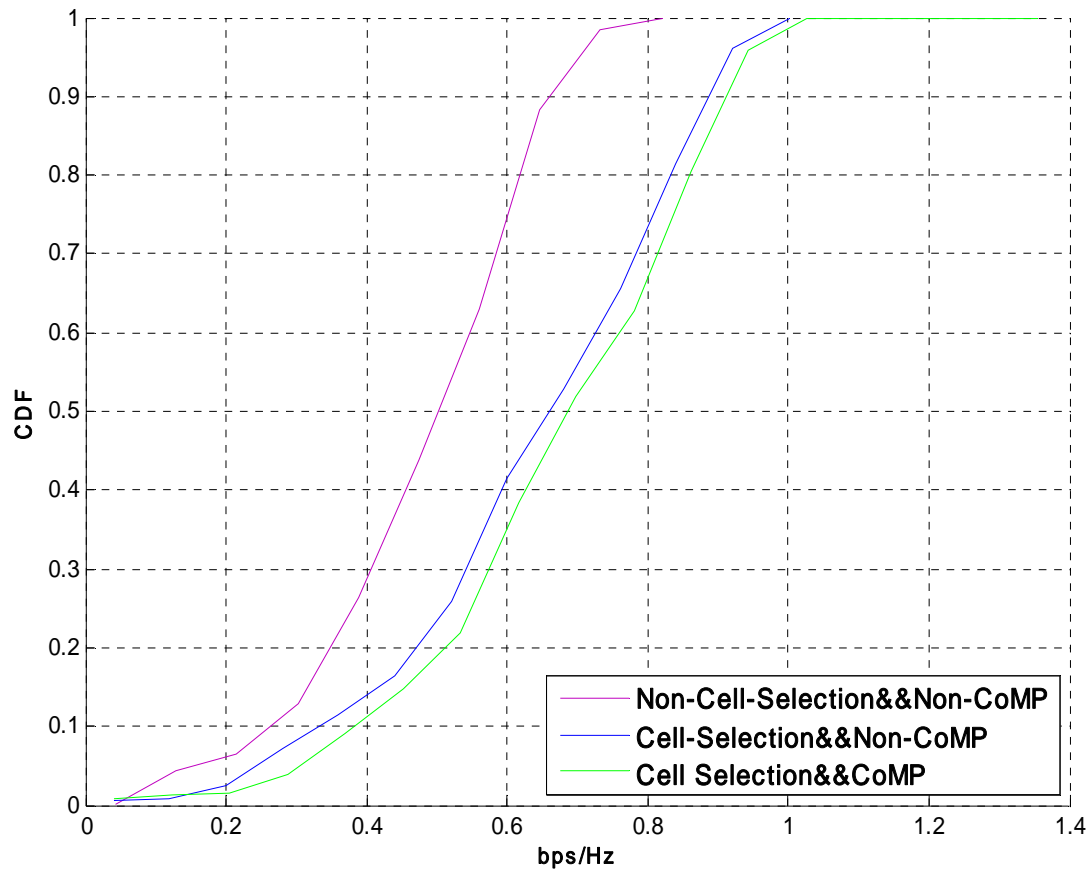
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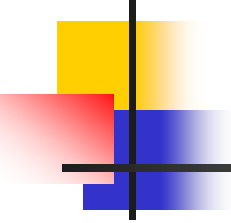
## The proposed scheme

- Determine the serving eNB
  - Determine the specified UE type: cell-edge UE or center UE
  - Decide the serving eNB for the specified UE according to the RSRP of each eNB
- Determine the CoMP set
  - When serving eNB is the originally accessed eNB, determine the number of coordinated cell in active CoMP set.
  - When serving eNB is not the originally accessed eNB, decide whether the CoMP is needed or not and the number of coordinated cells in active CoMP set.



# Cell Selection algorithm



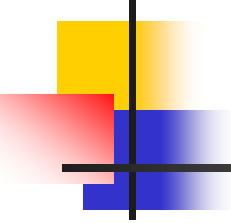
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# Projects within 3 years

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- Key technologies of adaptive multi-user MIMO systems, **National Science Foundation of China**, 2008-2010
- Solutions on improving the throughput of edge cells for IMT-Advanced systems, **Specialized project for state key laboratory**, 2008-2009
- Key technologies on MIMO for the uplink LTE systems, **Project of ZTE corporation**, 2007-2008
- Enhanced technologies on MIMO for uplink LTE systems, **Project of ZTE corporation**, 2008-2009

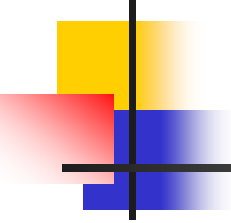
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# Achievements of our group

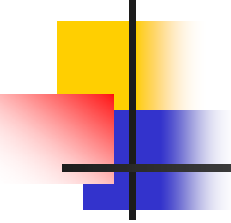
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- Achievements:
  - About 30 papers
  - 2 books
  - 1 translation
  - 4 patents
  - 4 proposal of B3G/4G

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# Family Album



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# Future work

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- Multi-user MIMO systems
  - Multi-user Precoding
  - Multi-user scheduling combining with precoding
- Cross-layer design
  - Combining MIMO-OFDM With MAC protocol
- Cognitive radio
  - Cognitive coexistences between WLAN and LTE systems



Thanks for your attention!